



PATENTS  
593/004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION

Applicants : Thomas E. Borillo, Dean Peterson,  
Gregg S. Sutton, and Jeffrey Welch

Application No. : 09/932,512 Confirmation No.: 6335

Filed : August 17, 2001

For : EXPANDABLE IMPLANT DEVICES FOR  
FILTERING BLOOD FLOW FROM  
ATRIAL APPENDAGES

Group Art Unit : 3763

Examiner : Not yet assigned

New York, NY 10020  
January 2, 2002

Hon. Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following documents:

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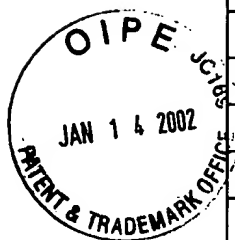


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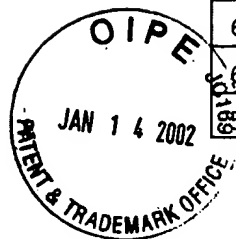


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Copies of the aforementioned documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

It is respectfully requested that these references be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialled by the Examiner, be returned with the next communication.

Applicants believe that this Information Disclosure Statement is being submitting prior to the mailing date of the first Office Action on the merits, therefore, pursuant to 37 C.F.R. § 1.97(b)(3), applicants believe that no fee is required in connection with this Information Disclosure Statement. However, if for any reason a fee is due, the Director is hereby authorized to charge all fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this Information Disclosure Statement is enclosed.

An early and favorable action is respectfully  
requested.



Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Manu J. Tejawani", written over a horizontal line.

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